NN9647 (Rev 1)

**Specialty Metals Information Checklist**

**Separately submit**

(Note:Instructions for completing this form have been provided.)

1. Tracking No:
2. RFQ / Purchase Order No:
3. Physical location of item:
4. Program/Hull:
5. Purchase Order Commodity/Item Description:
6. Supplier Name/Number:
7. NNS Material Number/NNPN:
8. Confirm DFAR non-compliant refers to DFAR 252.225-7009 “Restriction on Acquisition of Certain Articles Containing Specialty Metals” and not DFAR 252.225-7001 “Buy American Act and Balance of Payments Program:”
9. Identify the potentially “non-compliant” metal in the part/item and confirm the potentially “non-compliant” metal is a specialty metal:
10. Identify which country the part/item on our purchase order was manufactured:
11. Identify specific part/item of non-compliance in the part ordered:
12. Identify which country the specific part/item of non-compliance was manufactured and which country the metal was melted or produced in. \*Provide Certificate of Conformance / Mill Test Report and traceable documents to adequately identify material to NNS purchase order item:
13. If Specialty Metal items are contained inside of a component identify the combined total Specialty Metal weight of all the items/parts inside the component and the Specialty Metal weight of the individual component:
14. If piece parts are furnished individually identify the independent Specialty Metal weight of each part and then identify the total weight of all the Specialty Metal pieces:
15. Identify Manufacturer’s Part Number for specific part/item of non-compliance:
16. Identify Manufacturer’s CAGE Code for specific part / item of non-compliance:
17. Identify quantity of parts/items of non-compliance:
18. If available, identify the date by which a compliant part could be delivered (Supply Chain Procurement Representative (SCPR) must verify impact to prime contract schedule is acceptable if applicable):
19. Identify cost differential of compliant part if part can be made available:
20. Provide rationale for incorporating parts/items of non-compliance:
21. Is the item / part on “electronic component?”
22. Identify any of the above parts / items that meet the Commercial Off The Shelf (COTS) definition below and provide North American Industry Classification (NAIC) code:
23. If COTS, Provide Supplier/Sub-tier documentation that item meets COTS definition:
24. Identify any of the parts/items of non-compliance that are fasteners. If so, confirm fasteners are Federal Stock Classes (FSC) 5303 Screws, 5306 Bolts, 5307 Studs, 5310 Nuts & Washers, 5315, 5320 Rivets, 5325 Fastening devices or North American Industry Classification System (NAICS) 2002 Code 332722 (except cotter pins, dowel pins, hose clamps, spring pins, and turnbuckles:)
25. Name of Supplier Representative, printed and signed, completing the form and job title:

Print Name:

Signature:

1. Any additional notes (optional:)

**INSTRUCTIONS FOR FILLING OUT FORM NN 9647**

The definition of Specialty Metals is contained in DFARS 252.225-7008 which can found on the Defense Federal Acquisition Regulation Supplement (DFARS) and Procedures, Guidance, and Information (PGI) website: http://www.acq.osd.mil/dpap/dars/dfarspgi/current/index.html

BLOCK 1. Enter the Vendor Quote (VQ) / Vendor Information Request (VIR)/ Quality Notification (QN), if applicable.

BLOCK 2. Enter Request for Quotation (RFQ) / Purchase Order (PO).

BLOCK 3. Provide the location of the material (Supplier – currently in production, Supplier – ready to ship, NNS-warehouse, NNS waterfront, etc.).

BLOCK 4. Program/Hull is as shown on RFQ/PO in “End Use” and “Appendices.”

BLOCK 5. Enter a detailed account of the certain or salient aspects, characteristics, or features of the material, supplies or equipment

BLOCK 6. Enter the name of the party that will supply the goods or services and the Vendor Part Number (VPN) if available.

BLOCK 7. Enter the Newport News Shipbuilding (NNS) Material Number / Newport News Part Number (NNPN).

BLOCK 8. Refer to the Defense Federal Acquisition Regulation Supplement (DFARS) and Procedures, Guidance, and Information (PGI) website: <http://www.acq.osd.mil/dpap/dars/dfarspgi/current/index.html> for more

BLOCK 9. List the main elemental compound and does the ionic compound meet the acquisition of specialty metals; refer to **DFARS SECTION** **252.225-7008 Restriction on Acquisition of Specialty Metals.**

BLOCK 10. Define the country of origin where the part was manufactured and see if it meets the “Qualifying country” clause; See **DFARS SECTION 252.225-7002 Qualifying Country Sources as Subcontractors.**

BLOCK 11: Enter the part whether it’s a piece part or the whole part in general that is non-compliant. Parts that are not in compliance with the terms and conditions of the contract are referred to as non-compliant.

BLOCK 12. See Block 10

BLOCK 13. Enter the weight of the specialty metal items in the component that is non-compliant and give the total sum of their weight and separately the total weight of the component including the non-compliant parts.

BLOCK 14. Enter the weight of each piece for items, e.g. screws or flanges, not furnished in a component and their total weight.

BLOCK 15. Enter the Vendor Part Number (VPN) associated with the part that is non-compliant.

BLOCK 16. If available, list the Commercial and Government Entity (CAGE) code for the manufacturer/supplier for each part. See <http://www.dlis.dla.mil/cage_welcome.asp> or <https://www.logisticsinformationservice.dla.mil/BINCS/begin_search.aspx> to search.

BLOCK 17. List the total number of parts that are non-compliant.

BLOCK 18. If parts can be eventually supplied which are compliant then list the date by which they could be supplied.

BLOCK 19. If compliant parts and non-compliant parts are available list both prices and identify as such for NNS evaluation.

BLOCK 20. Give reasoning for using the non-compliant part; some reasons could include insufficient quantity or quality, domestic or Qualifying Country non-availability, expediting the order to fulfill a certain requirement, waivers granted “Domestic Non-Availability Determination” (DNAD) for select companies that are in violation of the Berry Amendment, but will never be able to meet the requirements such as the Semiconductor Industry Association (SIA) due to the global supply chain for its production line.

BLOCK 21. “Electronic component” means an item that operates by controlling the flow of electrons or other electrically charged particles in circuits, using interconnections of electrical devices such as resistors, inductors, capacitors, diodes, switches, transistors, or integrated circuits. The term does not include structural or mechanical parts of an assembly containing an electronic component, and does not include any high performance magnets that may be used in the electronic component.

BLOCK 22. COTS - Commercially available off-the-shelf item means any item of supply that is - A commercial item; Sold in substantial quantities in the commercial marketplace; and Offered to the Government, under this contract or a subcontract at any tier, without modification, in the same form in which it is sold in the commercial marketplace. The North American Industry Classification (NAIC) code can be found by using the following website <http://www.naics.com/search/>

BLOCK 23. Refer to the acquisition of commercial items from the following link: <https://acquisition.gov/far/current/html/FARTOCP12.html>

BLOCK 24. The following website <http://www.dlis.dla.mil/H2/search.aspx> will help you find the Federal Stock Classes (FSC) breakdown.

BLOCK 25. The Supplier representative must print and sign the checklist with the knowledge they are verifying that all of the information presented is accurate and complies with the restrictions and regulations governed by the Amendments invoked clauses.

BLOCK 26. The Supplier may add any pertinent information as to why a non-compliant material is offered for delivery.